# **Cooperating Systems of Four-Dimensional Finite Automata**

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#### Abstract

M.Blum and C.Hewitt first proposed two-dimensional automata as a computational model of two-dimensional pattern processing, and investigated their pattern recognition abilities in 1967. Since then, a lot of researchers in this field have been investigating many properties about automata on a two- or three-dimensional tape. On the other hand, the question of whether processing four-dimensional digital patterns is much more difficult than two- or threedimensional ones is of great interest from the theoretical and practical standpoints. Thus, the study of fourdimensional automata as a computasional model of fourdimensional pattern processing has been meaningful. This paper introduces a cooperating system of four-dimensional finite automata as one model of four-dimensional automata. A cooperating system of four-dimensional finite automata consists of a finite number of four-dimensional finite automata and a four-dimensional input tape where these finite automata work independently (in parallel). Those finite automata whose input heads scan the same cell of the input tape can communicate with each other, that is, every finite automaton is allowed to know the internal states of other finite automata on the same cell it is scanning at the moment. In this paper, we mainly investigate several accepting powers of a cooperating system of seven-way four-dimensional finite automata. The seven-way fourdimensional finite automaton is a four-dimensional finite automaton whose input head can move east, west, south, north, up, down, or in the future ,but not in the past on a four-dimensional input tape.

*Key Words* : computational complexity, cooperating system, finite automaton, four-dimension, multihead.

#### **1** Introduction

A cooperating system of four-dimensional finite automata (CS-4-FA) [2-4,8] consists of a finite number of four-dimensional finite automata and a four-dimensional input tape where these finite automata work independently (in parallel). Those finite automata whose input heads scan the same cell of the input tape can communicate with each other, that is, every finite automaton is allowed to know the internal states of other finite automata on the same cell it is scanning at the moment.

In this paper, we propose a cooperating system of seven-

way four-dimensional finite automata (CS-SV4-FA) which is a restricted version of CS-4-FA's, and mainly investigate its several properties as four-dimensional language acceptors. The seven-way four-dimensional finite automaton [7] is a four-dimensional finite automaton [1] whose input head can move east, west, south, north, up, down, or in the future, but not in the past. The paper has six sections in addition to this Introduction. Section 2 contains some definitions and notions. Section 3 investigates a relationship between seven-way four-dimensional simple multihead finite automata (SV4-SPMHFA's) and CS-SV4-FA's. It is shown that SV4-SPMHFA's and CS-SV4-FA's are equivalent in accepting power if each sidelength of each four-dimensional input tape of these automata is equivalent. Section 4 investigates the difference between the accepting powers of CS-SV4-FA's and CS-4-FA's, and shows that CS-SV4-FA's are less powerful than CS-4-FA's. Section 5 investigates the difference between the accepting powers of deterministic and nondeterministic CS-SV4-FA's, and shows that deterministic CS-SV4-FA's are less powerful than nondeterministic CS-SV4-FA's. Section 6 concludes by giving some open problems. In this paper, we let each sidelength of each input tape of these automata be equivalent in order to increase the theoretical interest.

## 2 Preliminaries

**Definition 2.1.** Let  $\sum$  be a finite set of symbols. A *four-dimensional tape* over  $\sum$  is a four-dimensional rectangular array of elements of  $\sum$ . The set of all four-dimensional tapes over  $\sum$  is denoted by  $\sum^{(4)}$ . Given a tape  $x \in \sum^{(4)}$ , for each integer  $j(1 \le j \le 4)$ , we let  $l_j(x)$  be the length of x along the jth axis. The set of all  $x \in \sum^{(4)}$  with  $l_1(x) = n_1, l_2(x) = n_2, l_3(x) = n_3$ , and  $l_4(x) = n_4$  is denoted by  $\sum^{(n_1, n_2, n_3, n_4)}$ . When  $1 \le i_j \le l_j(x)$  for each  $j(1 \le j \le 4)$ , let  $x(i_1, i_2, i_3, i_4)$  denote the symbol in x with coordinates  $(i_1, i_2, i_3, i_4)$ . Furthermore, we define

$$x[(i_1, i_2, i_3, i_4), (i'_1, i'_2, i'_3, i'_4)],$$

when  $1 \le i_j \le i'_j \le l_j(x)$  for each integer  $j(1 \le j \le 4)$ , as the four-dimensional input tape y satisfying the following conditions:

(i) for each  $j(1 \le j \le 4), l_j(y) = i'_j - i_j + 1;$ 

(ii) for each 
$$r_1, r_2, r_3, r_4 (1 \le r_1 \le l_1(y), 1 \le r_2 \le l_2(y),$$
  
 $1 \le r_3 \le l_3(y), 1 \le r_4 \le l_4(y)), y(r_1, r_2, r_3, r_4)$   
 $= x(r_1 + i_1 - 1, r_2 + i_2 - 1, r_3 + i_3 - 1, r_4 + i_4 - 1).$   
(We call  $x[(i_1, i_2, i_3, i_4), (i'_1, i'_2, i'_3, i'_4)]$  the  
 $[(i_1, i_2, i_3, i_4), (i'_1, i'_2, i'_3, i'_4)]$ -segment of  $x$ .)

We recall a seven-way four-dimensional simple k-head finite automaton (SV4-SPk-HFA)[5,6]. An SV4-SPk-HFA M is a finite automaton with k read-only input heads operating on a four-dimensional input tape surrounded by boundary symbols #'s. The only one head(called the 'reading' head) of M is capable of distinguishing the symbols in the input alphabet, and the other heads(called 'counting' heads) of M can only detect whether they are on the boundary symbols or a symbol in the input alphabet. When an input tape x is a presented to M, M determines the next state of the finite control, the next move direction (east, west, south, north, up, down, future, past or no move) of each input head, depending on the present state of the finite contorol, the symbol read by the reading head, and on whether or not the symbol read by each counting head is boundary symbol. We say that M accepts x if M, when started in its initial state with all its input heads on x(1, 1, 1, 1), eventually halts in an accepting state with all its heads on the bottom boundary symbols of x. As usual, we define nondeterministic and dterministic SV4-SPk-HFA's.

A seven-way four-dimensional sensing simple k-head finite automaton(SV4-SNSPk-HFA) is the same device as a SV4-SPk-HFA except that the former can detect coincidence of the input heads.

We denote a deterministic(nondeterministic) SV4-SP*k*-HFA by SV4-SP*k*-HDFA(SV4-SP*k*-HNFA), and denote a deterministic (nondeterministic)SV4-SNSP*k*-HFA by SV4-SNSP*k*-HDFA(SV4-SNSP*k*-HNFA).

We now give formal definition of a *cooperating system* of k four-dimensional deterministic finite automata (CS-4-DFA(k)) as an acceptor.

**Definition 2.2.** A CS-4-DFA(k) is a k-tuple  $M = (FA_1, FA_2, \ldots, FA_k), k \ge 1$ , such that for each  $1 \le i \le k$ ,

$$\mathbf{FA}_i = (\sum, Q_i, X_i, \delta_i, q_0 i, F_i, \phi, \sharp),$$

where

- 1.  $\sum$  is a finite set of *input symbols*.
- 2.  $Q_i$  is a finite set of *states*.
- 3.  $X_i = (Q_1 \cup \{\phi\}) \times \cdots \times (Q_{i-1} \cup \{\phi\}) \times (Q_{i+1} \cup \{\phi\}) \times \cdots \times (Q_k \cup \{\phi\})$ , where ' $\phi$ ' is a special state not in  $(Q_1 \cup Q_2 \cup \cdots \cup Q_k)$ .
- 4.  $\delta_i : (\sum \cup \{ \sharp \}) \times X_i \times Q_i \to Q_i \times east(= (0, +1, 0, 0))$ ,west(= (0, -1, 0, 0)),south(= (+1, 0, 0, 0)),north (= (-1, 0, 0, 0)),up(= (0, 0, -1, 0)),down(= (0, 0, +1, 0)),future(= (0, 0, 0, +1),past(= (0, 0, 0, -1))),no move(= (0, 0, 0, 0)) is the *next move function*, where ' $\sharp$ ' is the *boundary symbol* not in  $\sum$ .

- 5.  $q0_i \in Q_i$  is the *initial state* of FA<sub>i</sub>.
- 6.  $F_i \subseteq Q_i$  is the set of *accepting states* of FA<sub>i</sub>.

Every automaton of M independently (in parallel) works step by step on the same four-dimensional tape xover  $\sum$  surrounded by boundary symbols  $\sharp$ 's. Each step is assumed to require exactly one time for its completion. For each  $i(1 \le i \le k)$ , let  $q_i$  be the state of FA<sub>i</sub> at time 't'. Then each FA<sub>i</sub>, enters the next state ' $p_i$ ' at time 't + 1' according to the function

$$\delta_i(x(\alpha,\beta,\gamma,\rho),(q'_1,\ldots,q'_{i-1},q'_{i+1},\ldots,q'_k),q_i) = (p_i,(d_1,d_2,d_3,d_4)),$$

where  $x(\alpha, \beta, \gamma, \rho)$  is the symbol read by the input head of FA<sub>i</sub> at time 't' and for each  $j \in \{1, \ldots, i-1, i+1, \ldots, k\}$ ,

$$q'_{j} = \begin{cases} q_{j} \in Q_{j} & \text{if the input heads of FA}_{i} \text{ and FA}_{j}, \\ & \text{are on the same input position at} \\ & \text{the moment 't';} \\ \phi & \text{otherwise,} \end{cases}$$

and moves 1st input head to  $x(\alpha+d_1, \beta+d_2, \gamma+d_3, \rho+d_4)$ at time 't + 1'. We assume that the input head of FA<sub>i</sub> never falls off the tape beyond boundary symbols.

When an input tape  $x \in \sum^{(4)}$  is presented to M, we say that M accepts the tape x if each automaton of M, when started in its initial state with its input head on x(1, 1, 1, 1), eventually enters an accepting state with its input head on one of the bottom boundary symbols.

We next introduce a *cooperating system of k seven-way four-dimensional deteministic finite automata* (CS-SV4-DFA(k)), with which we are mainly concerned in this paper.

**Definition 2.3.** A CS-SV4-DFA(k) is a CS-4-DFA(k)  $M = (FA_1, FA_2, ..., FA_k)$  such that the input head of each  $FA_i$  can only move east, west, south, north, up, down, or in the future, but not in the past.

To give the formal definition of a cooperating system of k four-dimensional nondeterministic finite automata (CS-4-NFA(k)) and a cooperating system of k seven-way four-dimensional nondeterministic finite automata (CS-SV4-NFA(k)) is left to the reader. For each  $X \in \{SV4-SPk-HDFA,SV4-SPk-HNFA,SV4-SNSPk-HDFA,SV4-SNSPk-HDFA,SV4-SNSPk-HNFA,CS-4-DFA(k),CS-4-NFA(k),CS-SV4-DFA(k),CS-SV4-DFA(k),CS-sV4-NFA(k)\}, by <math>X^c$  we denote an X which each sidelength of each input tape is equivalent; by  $\mathcal{L}[X](\mathcal{L}([X^c]))$  we denote the class of sets of input tapes accepted by X's( $X^c$ 's). We will focuse our attention on the acceptors which each sidelength of each input tape is equivalent.

# 3 Relationship between SV4-SPMHFA's and CS-SV4-FA's

In this section, we establish a relation between the accepting powers of seven-way four-dimensional simple multihead finite automata and cooperating systems of sevenway four-dimensional finite sutomata over input tapes which each sidelength is equivalent. This result will be used in the latter sections.

**Lemma 3.1.** *For any*  $k \ge 1$  *and*  $X \in \{N, D\}$ *,* 

 $\mathcal{L}[SV4-SNSPk-HXFA^{c}] \subseteq \mathcal{L}[CS-SV4-XFA(2k)^{c}]$ 

*Proof.* Let M be an SV4-SNSPk-HFA $^c$ . We will construct a CS-SV4-XFA $(2k)^c M'$  to simulate M. M' acts as follws:

- 1. M' simulates the moves of the reading head of M and all the east, west, south, north up, or down moves of counting heads of M by using its (k + 1) finite automata.
- 2. M' simulates all the moves in the future direction of counting heads of M by making the down moves of input heads of its other (k 1) finite automata.
- 3. During the simulation, if M moves its reading head in the future direction, then M' makes all of input heads of finite automata of M' move in the future direction so that all the automata of M' can keep their input heads on the same three-dimensional rectangular array and can communicate with each other in that three-dimensional rectangular array.

It is easy to see that M' can simulate M.

**Lemma 3.2.** For any  $k \ge 1$  and any  $X \in \{N, D\}$ ,

$$\mathcal{L}[\text{CS-SV4-XFA}(k)^c] \subseteq \mathcal{L}[\text{SV4-SNSP}(2k^2 - k + 1) - \\ \text{HXFA}^c].$$

*Proof.* Let  $M = (FA_1, FA_2, \dots, FA_k)$  be a CS-SV4-XFA $(k)^c$ . We will construct an SV4-SNSP $(2k^2 - k + 1)$ -HXFA<sup>c</sup> M' to simulate M. Let R denote the reading head of M', and  $h_1, h_2, \dots, h_{2k^2-k}$  denote the  $2k^2 - k$  counting heads of M'. M' acts as follws:

- 1. M' stores the internal states of FA<sub>1</sub>, FA<sub>2</sub>,..., FA<sub>k</sub> in its finite contorol.
- 2. For each three-dimensional rectangular array of the input tape:
  - (a) M' simulates the east, west, south, north, up, or down moves of input heads of FA<sub>1</sub>, FA<sub>2</sub>,..., FA<sub>k</sub> by using R and h<sub>1</sub>, h<sub>2</sub>,..., h<sub>k</sub>.
  - (b) M' stores in its finite control the internal state of each FA<sub>i</sub>,  $1 \le i \le k$ , when the input head of FA<sub>i</sub> leaves the three-dimensional rectangular array and the order,  $(d_1, d_2, \ldots, d_k)$ , in which

the input heads of FA<sub>1</sub>, FA<sub>2</sub>, ..., FA<sub>k</sub> leave the plane subsequently (i.e., FA<sub>d1</sub> firstly moves its input head in the future direction from the threedimensional rectangular array. FA<sub>d2</sub> secondly moves its input head in the future direction from the three-dimensional rectangular array, and so on.), and M' keeps the position where the input head of each FA<sub>i</sub>,  $1 \le i \le k$ , leaves the threedimensional rectangular array by the positions of  $h_1, h_2, \ldots, h_k$ .

(c) Furthermore, for each  $i(1 \le i \le k-1)$ , the interval between the times at which  $FA_{d_i}$  and  $FA_{d_{i+1}}$  move their input heads in the future direction from the three-dimensional rectangular array is stored by a counter with  $O(n^{6k})$  space bound, which can be realized by using  $h_{(2i-1)k-1}$ ,  $h_{(2i-1)k-2}, \ldots, h_{(2i-1)k}$ , where *n* is the number of rows (or columns or planes or three-dimensional rectangular array) of the input tape.

Note that M works in  $O(n^{6k})$  time, that is, if an input tape with n rows (or columns or planes) is accepted by M, then it can be accepted by M in  $O(n^{6k})$  time. Thus, it is easy to verify that M' can simulate M.

From [5], it follows that  $\bigcup_{1 \le k < \infty} \mathcal{L}[SV4-SPk-HXFA^c] = \bigcup_{1 \le k < \infty} \mathcal{L}[SV4-SNSPk-HXFA^c]$  for any  $X \in \{N, D\}$ . Combining this result with Lemmas 3.1 and 3.2, we have the following thorem.

**Theorem 3.1.**  $\cup_{1 \le k < \infty} \mathcal{L}[SV4-SPk-HXFA^c] = \cup_{1 \le k < \infty} \mathcal{L}[CS-SV4-XFA(k)^c] \text{ for any } X \in \{N, D\}.$ 

**Corollary 3.1.** For any  $k \ge 1$ , there is no CS-SV4-NFA(k) that accepts the set of connected patterns.

**Remark 3.1.** It is easy to see that for each  $k \leq 1$ , (1)four-dimensional sensing simple k head finite automata [5] are simulated by cooperating systems of (k + 1) four-dimensional finite automata, and (2) cooperating systems of k four-dimensional finite automata are simulated by four-dimensional sensing simple (k + 1) head finite automata.

**Remark 3.2.** It is shown in [9] that (one-dimensional) oneway simple multihead finite automata snd cooperating systems of (one-dimensional) one-way deterministic finite automata are incomparable in accepting power. From this fact, it follows that SV4-SPMHFA's and CS-SV4-DFA's are incomparable in accepting power if the input tapes are restricted to those x such that  $l_4(x) > l_1(x) = l_2(x) =$  $l_3(x)$ . We can also show that SV4-SPMHFA's are more powerful than CS-SV4-DFA's if the input tapes are restricted to those x such that  $l_4(x) < l_1(x) = l_2(x) =$  $l_3(x)$ .

## 4 Seven-way versus Eight-Way

In this section, we investigate the difference between the accepting powers of CS-4-DFA $(k)^c$ 's [CS-4-NFA $(k)^c$ 's] and CS-SV4-DFA $(k)^c$ 's [CS-SV4-NFA $(k)^c$ 's].

**Theorem 4.1.** For each  $X \in \{N, D\}$ ,  $\mathcal{L}$  [CS-4-DFA(1)<sup>c</sup>] $- \bigcup_{1 \le k < \infty} \mathcal{L}$ [CS-SV4-XFA(k)<sup>c</sup>]  $\neq \emptyset$ .

*Proof.* Let  $T_1 = \{x \in \{0, 1\}^{(4)} | (\exists m \ge 2)[l_1(x) = l_2(x) = l_3(x) = l_4(x) = m \& x[(1, 1, 1, 1), (m, m, m, 1)] = x[(1, 1, 1, 2), (m, m, m, 2)]]\}$ . Clearly,  $T_1 \in \mathcal{L}[CS-4-DFA(1)^c]$ . From [5], it is easy to see that  $T_1$  is not in  $\cup_{1\le k<\infty}\mathcal{L}[SV4-SPk-HNFA^c]$ . From this fact and Theorem 3.1, the theorem follows. □

From Theorem 4.1, we can get the following corollary.

**Corollary 4.1.** For each  $k \ge 1$  and  $X \in \{N, D\}$ ,  $(1)\mathcal{L}[CS-SV4-XFA(k)^c] \subsetneq \mathcal{L}[CS-4-XFA(k)^c]$ , and  $(2) \cup_{1 \le k < \infty} \mathcal{L}[CS-SV4-XFA(k)^c] \subsetneq \cup_{1 \le k < \infty} \mathcal{L}[CS-4-XFA(k)^c]$ .

## 5 Nondeterminism versus Determinism

In this section, we investigate the difference between the accepting powers of CS-SV4-NFA $(k)^c$ 's and CS-SV4-DFA $(k)^c$ 's.

**Theorem 4.2.**  $\mathcal{L}[\text{CS-SV4-NFA}(1)^c] - \bigcup_{1 \le k < \infty} \mathcal{L}[\text{CS-SV4-DFA}(k)^c] \neq \emptyset.$ 

*Proof.* Let  $T_2 = \{x \in \{0,1\}^{(4)} | (\exists m \ge 2)[l_1(x) = l_2(x) = l_3(x) = l_4(x) = m] \& \exists_i, \exists_j (1 \le i \le m, 1 \le j \le m, 1 \le k \le m)[x(i, j, k, 1) = x(i, j, k, 2) = 1]$ . Clearly,  $T_2 \in [\text{CS-SV4-NFA}(1)^c]$ . From [5], it is easy to see that  $T_2$  is not in  $\cup_{1 \le k < \infty} \mathcal{L}[\text{SV4-SPk-HDFA}^c]$ . From this fact and Theorem 3.1, the theorem follows. □

From Theorem 4.2, we get the following corollary.

**Corollary 4.2.** For each  $k \geq 1, (1)\mathcal{L}[CS-SV4-DFA(k)^c] \subseteq \mathcal{L}[CS-SV4-NFA(k)^c]$ , and (2)  $\cup_{1\leq k<\infty}\mathcal{L}[CS-SV4-DFA(k)^c] \subseteq \cup_{1\leq k<\infty}\mathcal{L}[CS-SV4-NFA(k)^c]$ .

## 6 Conclusion

We conclude this paper by giving several open problems except the open problem stated in the previous section. In this paper, we introduced a cooperating system of fourdimensional finite automata, and investigated several basic accepting powers. We conclude this paper by giving an open problem as follows.

For each  $k \geq 2$ ,

#### $\mathcal{L}[\text{CS-4-DFA}(k)^c] \subsetneq \mathcal{L}[\text{CS-4-NFA}(k)^c] ?$

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